



Fund Regulatory.

Edenbridge 
FUND CHANGE
& LAUNCH AT PACE

Fund Regulatory

Fund Launch & Fund
Change. **Simplified.**

Ensuring regulatory compliance for funds, across all relevant jurisdictions and markets. Analysis and implementation carried out using a simplistic approach to help deliver your initiatives...at pace.

Our team at Edenbridge ensure we remain up to date with the latest developments in the regulatory environment. Understanding the complexities and how this may effect your business, helps us design and deliver efficient solutions that are relevant to both current and future requirements.

At Edenbridge, our experience of fund launch and change ensures that regulation design has been optimised for the fund type, fund structure and the jurisdiction in which fund is domiciled.

Edenbridge 





Fund Regulatory

At Edenbridge, we understand that regulatory change can have an end-to-end impact, from investment decision to fund distribution. We manage the journey from regulatory guidance through to implementation, which leads to "best fit" design and seamless incorporation within your operating model specific to the funds domicile.

Our team of fund related specialists at Edenbridge can help manage fund based regulatory change, and ensure its efficient implementation.

With over 60 years combined experience, we have had opportunities to manage fund change across a range of regulations including MIFID II, PRIIPs, EMIR and the implications of Brexit.

Enhancements

Regulatory change may require enhancements to "As-Is" operating models and systems. We can manage this process with our team using our experience in the sector.

Stakeholders

Due to the far-reaching implications of regulation, the stakeholder reach and engagement can be a long process as the regulation itself develops.

Edenbridge can manage this, ensuring strategic buy in from the early stages through to completion.

Development

As part of the change, there is often the "Build vs Buy" design discussion to be managed. Our existing documents and architecture can be used to manage this. In addition, an ad-hoc RFP management processes can be utilised through our team should this be required.

Roadmap

As regulations develop from guidelines, the complexity of the Roadmap changes, and can become out of sync with business needs. Edenbridge can manage the roadmap, to enable achievement of your goals.

A photograph of several runners' legs and feet as they start a race on a track, positioned diagonally across the bottom right of the page.

Fund Launch & Fund Change. **Simplified.**

Regulatory Impact

Fund Launch & Fund Change. **Simplified.**

Operating Model

Firmwide integration and implementation, with ground up understanding of the business “As-Is” to the target “To-Be”. We build out a gap analysis to identify requirements ready for implementation via our streamlined planning process.

Technology

Ensuring the technology behind the operating model and resources are fit for purpose and any enhancements to deal with the changes are appropriately implemented.

Resource

Empowering existing teams and if necessary helping supply or shape the required “To-Be” model, to ensure efficient regulatory adherence. Edenbridge have a dedicated pool of specialist resources to enhance your regulatory compliance.

Reporting

Impacts to reporting due to a change in regulation will require consideration. Reporting requirements (both internal and external) should be defined prior to regulatory sign-off, with an auditable trail of completion.



At Edenbridge, we have experience within all workstreams of regulatory change, and can help your firm navigate through all of its complexities. We offer both “off-the-shelf” and bespoke solutions to the regulatory requirements.

MIFID II: The directive came into effect 2018, and was considered to be the largest regulatory change since 2008 across the European Union. The implications of the directive were firmwide, covering Transaction Reporting, Product Governance, Research, Best Execution, Market Infrastructure and Implementation.

PRIIPS: (Packaged Retail and Insurance-based Investment Products): The regulation increased client transparency and comparability of investment products throughout the EU by ensuring standardised Key Information Documents (KIDs).

Solvency II: SII is an insurance based regulation, and is aimed at increasing protection of the assets through three key pillars which are:

- **Financial Requirements** - calculations that ensure certain levels of reserves funds are held within the investment process.
- **Governance and Supervision** - fit and proper Risk Management processes and resources are in place.
- **Reporting and Disclosure** - Transparency and open information intended to promote stronger discipline throughout the industry.

EMIR: European Market Infrastructure Regulation is focused on the derivatives market, the regulation aims to reduce the risk and increase the transparency in derivative trading. UK EMIR also establishes common organisational, conduct of business and standards for central counterparties (CCPs) and trade repositories (TRs).

Contact Us



info@edenbridgeconsulting.com



+44 (0)800 1455 321



www.edenbridgeconsulting.com

Fund Launch & Fund
Change. **Simplified.**

Edenbridge 